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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Naomitsu TSUGIWA

Serial No.: 10/626,206

Filing Date: 07/24/2003

For: GAME SOFTWARE AND GAME MACHINE

<p>CERTIFICATE OF MAILING, 37 C.F.R. §1.8(a) I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to the COMMISSIONER FOR PATENTS, P. O. BOX 1450, ALEXANDRIA, VA 22313-1450.</p> <p><i>Lewis F. Gould, Jr.</i> Reg. No. 25,057 <i>June 14, 2004</i> Date</p>
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COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☐ No separate requirements are needed.
- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97©**

The fee of \$240.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

☒ A copy of each of the foreign references listed on the attached Form PTO-1449A is enclosed herewith and forms a part hereof.

☒ An English language Abstract is attached to each of the listed references and forms a part hereof.

☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith, namely, _____.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to **Deposit Account No. 04-1679**. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: June 14, 2011

Lewis F. Gould, Jr.

Lewis F. Gould, Jr.

Registration No. 25,057

Duane Morris, LLP.

One Liberty Place

Philadelphia, PA 19103-7396

(215) 979-1282

Docket No: 3005-49



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Naomitsu TSUGIWA

Serial No.: 10/626,206

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Lewis F. Gould, Jr.
Reg. No. 25,057
June 14, 2004
Date

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.56, the materials listed on the enclosed for PTO-1449 are hereby brought to the attention of the Examiner. A copy of each foreign reference is enclosed.

The claimed invention is believed patentable over the enclosed references. No representations are made regarding the references other than those set forth above.

Respectfully submitted,

Date: *June 14, 2004*

Lewis F. Gould, Jr.
Lewis F. Gould, Jr.
Registration No. 25,057
Duane Morris LLP
One Liberty Place
Philadelphia, PA 19103-7396
(215) 979-1282

Docket No: 3005-49



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/626,206
Filing Date	07-24-2003
First Named Inventor	Naomitsu Tsugiwa
Art Unit	3713
Examiner Name	Not Known Yet
Attorney Docket Number	3005-49

U. S. PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/626,206
		Filing Date	07-24-2003
		First Named Inventor	Naomitsu Tsugiiwa
		Art Unit	3713
		Examiner Name	Not Known Yet
Sheet 1	of 1	Attorney Docket Number	3005-49

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B	"MYST III Exile Official Guide", August 1, 2001, page 7, Soft Bank Publishing, Japan	✓

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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